

# Number Theory Seminar

Nitesh Mathur, Praneel Samanta, Curtis Balz

November 16, 2021

## Contents

<b>1</b>	<b>Riemann Zeta Function</b>	<b>2</b>
1.1	Euler Products . . . . .	2
1.2	Prime Number Theorem . . . . .	3
1.3	Mobius Function . . . . .	4
1.4	Divisor Function and Ramanujan Sums . . . . .	6
1.5	Ramanujan Sums . . . . .	7
1.6	Analytic Continuation . . . . .	7
	1.6.1 Gamma Function . . . . .	7
	1.6.2 Gamma And Zeta Together . . . . .	8
1.7	Functional Equation . . . . .	10
1.8	Riemann Hypothesis . . . . .	10

# 1 Riemann Zeta Function

## 1.1 Euler Products

26 October 2021

$$\sum_{n=1}^{\infty} \frac{1}{n^s}$$

\*Runs over all numbers

Exercises

1. Convergent for  $\sigma > 1$
2. Uniformly convergent on any region  $\sigma \geq 1 + \delta$

Hint Think About Weirstrauss M-Test. (It is analytic function in the region, term by term, think about  $\zeta'$ , etc.)

---

$$\prod_p \left(1 - \frac{1}{p^s}\right)^{-1},$$

where  $\delta \in \mathbf{C}, S = \sigma + it$ .

\*Runs only over primes.

3. This is absolutely convergent for  $\sigma > 1$ .

Hint Convergence about infinite product? Take log of product, and then the product becomes a lot. Then, we can think about when does the new sum converge?

$-\sigma \log\left(1 - \frac{1}{p^s}\right)$ , then expand it using power series expansion for log. Finally, we will get a zeta function looking expression (which converges) so just the prime terms in the zeta function has to converge.

---

Claim  $\sum_{n=1}^{\infty} \frac{1}{n^s} = \prod_p \left(1 - \frac{1}{p^s}\right)^{-1} - \zeta(s)$

Leading Questions

1. Why should these be related? (Unique Prime factorization).
2. Why don't we have overcounting?
3. Equivalent statement to UPF of  $\mathbf{Z}$ .

Proof Hint Start with finite number of terms and think about  $\frac{1}{1-a}$ , the geometric series.

*Proof.*

$$\begin{aligned} \prod_{p \leq P} \left(1 - \frac{1}{p^s}\right)^{-1} &= \prod_{p \leq P} \left(1 + \frac{1}{p^s} + \frac{1}{p^{2s}} + \dots\right) \\ &= 1 + \frac{1}{n_1^s} + \frac{1}{n_2^s} \end{aligned}$$

(integers whose primefactorization are  $\leq P$ ).

Now consider

$$\begin{aligned}
 |\zeta(s) - \prod_{p \leq P} (1 - \frac{1}{p^s})^{-1}| &= |\zeta(s) - 1 - \frac{1}{n_1^s} - \frac{1}{n_2^s} - \dots| \\
 &\leq \frac{1}{(p+1)^\sigma} + \frac{1}{(p+2)^\sigma} + \dots \\
 &\rightarrow 0 \text{ as } p \rightarrow \infty
 \end{aligned}$$

(since  $\sigma > 1$ ). □

Conversation "Dirichlet series," but first done by Euler (of course).

Called the **Euler Products**.

Then came is Riemann and said, "Why not make it more complex?" (literally). Hence, it is called the **Riemann Zeta function (the summation)**.

---

No non-trivial root of  $\zeta$  function for great 0.

Claim  $\zeta(s)$  has no root for  $\sigma > 1$ .

(Do the same thing. Finite sum, take it to the left hand side...)

*Proof.*

$$\begin{aligned}
 (1 - \frac{1}{2^s})(1 - \frac{1}{3^s}) \dots (1 - \frac{1}{1-p^s}) \zeta(s) &= \sum_{i \in I} \frac{1}{n_i^s} \quad (\text{all prime factors strictly greater than } P) \\
 |(1 - \frac{1}{2^s})(1 - \frac{1}{3^s}) \dots (1 - \frac{1}{1-p^s})| \cdot |\zeta(s)| &> 0 \\
 |\zeta(s)| &> 0
 \end{aligned}$$

□

## 1.2 Prime Number Theorem

Consider  $\Pi(x) = (\text{number of primes}) \leq x$ , where  $x \in \mathbf{R}_+$ .

1. Is there a relation between  $\zeta(s)$  and  $\Pi(x)$ .

$$\begin{aligned}
 \log(\zeta(s)) &= - \sum_p \log(1 - \frac{1}{p^s}) \\
 &= - \sum_{n=2}^{\infty} \{\Pi(n) - \Pi(n-1)\} \log(1 - \frac{1}{n^s}) \\
 &= - \sum_{n=2}^{\infty} \Pi(n) \{ \log(1 - \frac{1}{1-n^s}) - \log(1 - \frac{1}{(n+1)^2}) \} \quad (\text{Then COV}) \\
 &= - \sum_{n=2}^{\infty} \Pi(n) \log(1 - \frac{1}{1-n^s}) + \sum_{n=2}^{\infty} \Pi(n-1) \log(1 - \frac{1}{1-(n+1)^s})
 \end{aligned}$$

For the second to last line, this is basically like FTC of integration i.e.

$$\begin{aligned}
 -\sum_{n=2}^{\infty} \Pi(n) \left\{ \log\left(1 - \frac{1}{1-n^s}\right) - \log\left(1 - \frac{1}{(n+1)^2}\right) \right\} &= \sum_{n=2}^{\infty} \Pi(n) \left(\log\left(1 - \frac{1}{x^s}\right)\right)'_{n^{n+1}} \\
 &= \sum_{n=2}^{\infty} \Pi(n) \int_n^{n+1} \frac{s}{x(x^s-1)} dx \\
 &= s \int_2^{\infty} \frac{\Pi(x) dx}{x(x^s-1)}
 \end{aligned}$$

### 1.3 Mobius Function

Consider the reciprocal of the zeta function:

$$\begin{aligned}
 \frac{1}{\zeta(s)} &= \prod_p \left(1 - \frac{1}{p^s}\right) \\
 &= 1 + \sum_{p \text{ prime}} \left(\frac{-1}{p^s}\right) + \sum_{p_1, p_2} \left(\frac{-1}{p_1^s} - \frac{1}{p_2^s}\right) + \sum_{p_1 p_2 p_3} \frac{(-1)^3}{p_1^s p_2^s p_3^s} + \dots \\
 &= \sum_{n=1}^{\infty} \frac{\mu(n)}{n^s},
 \end{aligned}$$

where  $\mu(n)$  is the Mobius function,  $\mu(1) = 1$  and

$$\begin{cases} \mu(n) = (-1)^k & \text{if } n \text{ is product of distinct } k \text{ prime factors} \\ 0 & \text{if } n \text{ not square free} \end{cases} \quad (1)$$

*Proposition.*

$$\sum_{d|n} \mu(d) = \begin{cases} 1 & n=1 \\ 0 & \text{otherwise} \end{cases} \quad (2)$$

$$\begin{aligned}
 1 &= \zeta(s) \cdot \frac{1}{\zeta(s)} \\
 &= \sum_{m=1}^{\infty} \frac{1}{m^s} \sum_{n=1}^{\infty} \frac{\mu(n)}{n^s} \\
 &= \sum_{p=1}^{\infty} \frac{1}{p^s} \sum_{d|\phi} \mu(\phi)
 \end{aligned}$$

2 November 2021

Recall Definition of a Riemann Zeta function, can be expressed in two different ways.

$$\begin{aligned}
 \zeta(s) &= \sum_{n=1}^{\infty} \frac{1}{n^s} && \text{(Dirichlet series)} \\
 &= \prod_{p \text{ primes}} \left(1 - \frac{1}{p^s}\right)^{-1} && \text{(Euler product)}
 \end{aligned}$$

where  $s = \sigma + it \in \mathbf{C}$ .

Check out Praneel's blog here!

Remind **Mobius Function** (Arithmetic function) - Tells you when number is square free.

$$\mu(n) = \begin{cases} (-1)^k & , n \text{ square free, } n = p_1 \cdot \dots \cdot p_k \text{ all distinct prime factors} \\ 0 & , \text{otherwise} \end{cases}$$

Now consider  $\sum_{d|n} \mu(d)$  (will only study finite sums)

*Theorem 1.*

$$\sum_{d|n} \mu(d) = \begin{cases} 1, & n = 1 \\ 0, & \text{otherwise} \end{cases}$$

*Proof.*

$$1 = \zeta(s) \cdot \frac{1}{\zeta(s)}$$

Recall,  $\frac{1}{\zeta(s)}$  had a series from involving  $\mu(d)$ . □

Now, let us try an alternative proof.

*Proof.* Let  $n = p_1^{k_1} p_2^{k_2} \dots p_n^{k_n}$ .

Let  $d = p_1^{\ell_1} \cdot \dots \cdot p_m^{\ell_m}$ ,  $0 \leq \ell_1 \leq k_1$

Observe  $\sum_{d|n} \mu(d) = \sum_{d|n} \mu(d)$ ,  $\ell_i = 0$ .

$\mu(d) = 1$  when even number of prime factors. Number of ways to choose them, i.e. binomial coefficients.

$$\begin{aligned} \mu(d) &= -1 & d &= p_i p_j \\ \text{number of ways} &\Rightarrow \binom{n}{2} \\ &= -1 \text{ if } d = p_i p_j p_k \\ \text{number of ways} &\Rightarrow \binom{m}{3} \\ \sum_{d|m} \mu(d) &= 1 + \binom{m}{1}(-1) + \binom{m}{2}(-1)^2 + \dots + \binom{m}{3}(-1)^3 + \dots \\ &= (1 - 1)^n \\ &= 0 \end{aligned}$$

□

---

Quote “Analytic number theory is all about tools...because you have to, you have, you have to know the tools to do number theory.”

”Otherwise, number theory is basically Calc II.”

*Proposition* (Möbius Inversion Formula).  $f$  known,  $g$  unknown, can use this inversion:

$$\begin{aligned}
 f_n &= \sum_{d|n} g(d) \\
 \iff g(m) &= \sum_{e|m} \mu\left(\frac{m}{e}\right) f(e) \\
 g(m) &= \sum_{e|m} \mu\left(\frac{m}{e}\right) \sum_{d|e} g(d) \\
 &= \sum_{d|m} g(d) \underbrace{\sum_{\substack{c|\frac{m}{d} \\ c|d}} \mu(c)}_{=0 \text{ unless } m=d} \\
 &= g(m)
 \end{aligned}$$

Quotes of the Day "**Lock them in a room and let them think hard.**" - Curtis

Praneel "How well can you rearrange sums?"

i.e. "Sum is basically a Lebesgue integral with respect to the counting measure feat Fubini."

*Example 2* (Von Mangoldt's function). Define

$$\Lambda(n) = \begin{cases} \log(p) & \text{if } n = p^k \\ 0 & \text{otherwise} \end{cases} \quad (3)$$

$$\begin{aligned}
 n &= p_1^{k_1} \cdot \dots \cdot p_m^{k_m} \\
 \Rightarrow \log(n) &= k_1 \log(p_1) + \dots + k_m \log(p_m) \\
 &= k_1 \Lambda(p_1) + \dots + k_m \Lambda(p_m) \\
 &= \sum_{d|n} \Lambda(d)
 \end{aligned}$$

Observe Logarithmic differentiation comes into play.

$$\begin{aligned}
 \frac{\zeta'(s)}{\zeta(s)} &= \sum_p \frac{\log(p)}{p^s} \left(1 - \frac{1}{p^s}\right)^{-1} \\
 &= - \sum_p \log(p) \sum_{m=1}^{\infty} \frac{1}{p^{ms}} \\
 &= - \sum_{n=2}^{\infty} \frac{\Lambda(n)}{n^s}
 \end{aligned}$$

## 1.4 Divisor Function and Ramanujan Sums

$$\sigma_a(n) = \sum_{d|n} d^a \quad (4)$$

The 'well-known' divisor function has  $a = 0$ .

Consider

$$\begin{aligned}
 \zeta(s)\zeta(s-a) &= \sum_{\mu=1}^{\infty} \frac{1}{\mu^s} \sum_{\nu=1}^{\infty} \frac{\nu^a}{\nu^s} \\
 &= \sum_{n=1}^{\infty} \frac{1}{n^s} \sum_{\nu|n} \nu^a \\
 &= \sigma_a(\nu)\zeta(s)
 \end{aligned}$$

(since  $n = \mu \cdot \nu$ . Hence, it runs over all divisors of  $n$ .)

Let's denote  $d(n) := \sigma_0(n)$ , the number of divisors of  $n$ , Then

$$\begin{aligned}\zeta^2(s) &= \sum_{k=1}^{\infty} \frac{1}{k^s} \sum_{l=1}^{\infty} \frac{1}{l^s} \\ &= \sum_{n=1}^{\infty} \frac{1}{n^s} \sum_{kl=n} 1 \\ &= \sum_{n=1}^{\infty} \frac{d(n)}{n^s}\end{aligned}$$

## 1.5 Ramanujan Sums

Let

$$\begin{aligned}c_k(n) &= \sum_{h \leq n, (h,k)=1} e^{-2nh\pi/k} \\ &= \sum_{h \leq n, (h,k)=1} \cos \frac{2nh\pi}{k}\end{aligned}$$

We will see one example of application of Mobius inversion to find an alternate expression for  $c_k(n)$  involving the mobius function. We consider the sum

$$\eta_k(n) = \sum_{m=0}^{k-1} e^{-2nm\pi/k}$$

which is equal to  $k$  when  $k|n$  and 0 otherwise, being sum of roots of unity.

Consider

$$\sum_{d|k} c_d(n) = \sum_{d|k} \sum_{(r,d)=1, r < d} e^{-2nr\pi/d} = \eta_k(n)$$

Thus by Mobius inversion,

$$c_k(n) = \sum_{d|k} \mu\left(\frac{k}{d}\right) \eta_d(n) = \sum_{d|k, d|n} \mu\left(\frac{k}{d}\right) d.$$

16 November 2021

Speaker Curtis

## 1.6 Analytic Continuation

Ultimate Goal Recall,  $\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s}$  only converges when  $\text{Re}(s) > 1$ .

### 1.6.1 Gamma Function

Step 1. Let us consider the  $\Gamma$  function.

$$\Gamma(s) = \int_0^{\infty} e^{-t} t^{s-1} dt \tag{5}$$

$$\begin{aligned}\Gamma(s) &= \int_0^{\infty} e^{-t} t^{s-1} dt \\ &= \int_0^1 e^{-t} t^{s-1} dt + \int_1^{\infty} e^{-t} t^{s-1} dt\end{aligned}$$

We want to avoid  $\int \frac{1}{t} dt$ , so we need  $s > 0$ , where  $s \in \mathbf{R}$ .

Claim  $\Gamma(s)$  extends to an analytic function on all of  $\text{Re}(s) > 0$ , where  $s \in \mathbb{C}$ .

If  $\text{Re}(s) > 0$ , then

$$\begin{aligned} |e^{-t}t^{s-1}| &= e^{-1}t^{\sigma-1} \\ &\Rightarrow \text{Integral still converges on } \text{Re}(s) > 0 \end{aligned}$$

Let  $F_\epsilon(s) = \int_\epsilon^{1/\epsilon} e^{-t}t^{s-1} dt$ . These are analytic as functions of  $s$  and  $F_\epsilon(s) \xrightarrow{\epsilon \rightarrow 0} \Gamma(s)$ .

*Lemma 3.*

$$\Gamma(s+1) = s\Gamma(s), \tag{6}$$

so  $\Gamma(n+1) = n!$ , where  $n \in \mathbb{N}$ .

*Proof.* Follows from Integration by Parts, where  $u = t^{s-1}, dv = e^{-t} dt, v = -e^{-t}, du = (s-1)t^{s-2} dt$ .  $\square$

We can rearrange this as follows:

$$\begin{aligned} \Gamma(s+1) &= s\Gamma(s) \\ \Rightarrow \Gamma(s) &= \frac{\Gamma(s+1)}{\Gamma(s)}, \end{aligned}$$

where  $\text{Re}(s+1) > 0$ , i.e.  $\text{Re}(s) > -1$  and  $s \neq 0$ .

Repeat as necessary. Then, we have extended  $\Gamma(s)$  to all of  $\mathbb{C}$  meromorphically to  $\mathbb{C}$  (meromorphic since poles at  $s = 0, -1, -2, \dots$ , etc.)

*Theorem 4.*

$$\Gamma(s)\Gamma(1-s) = \frac{\pi}{\sin(\pi s)} \tag{7}$$

$\Gamma(s)$  has poles at  $0, -1, -2, \dots$  and  $\Gamma(1-s)$  has poles at  $1, 2, 3, \dots$ . Hence LHS has a pole at all integers.

We need  $0 < \text{Re}(s) < 1$ . (critical strip - More on this, *later*).

*Proof.* Contour Integration.  $\square$

### 1.6.2 Gamma And Zeta Together

Step 2. Now we relate  $\zeta(s)$  to  $\Gamma(s)$ .

Observe  $\frac{1}{e^t - 1} = \frac{e^{-t}}{1 - e^{-t}} = \sum_{n=1}^{\infty} e^{-nt}$  by geometric series.

Now consider  $\int_0^{\infty} \frac{t^{s-1}}{e^t - 1} dt$ .

$$\begin{aligned} \int_0^{\infty} \frac{t^{s-1}}{e^t - 1} dt &= \int_0^{\infty} t^{s-1} \sum_{n=1}^{\infty} e^{-nt} dt \\ &= \sum_{n=1}^{\infty} \int_0^{\infty} t^{s-1} e^{-nt} dt \quad (\text{via Fubini}) \end{aligned}$$



U-sub Let  $u = nt \Rightarrow t = \frac{u}{n}$  and  $du = n dt \Rightarrow dt = \frac{du}{n}$ . Then, we have

$$\begin{aligned} &= \sum_{n=1}^{\infty} \frac{1}{n^{s-1}} \frac{1}{n} \int_0^{\infty} u^{s-1} e^{-u} du \\ &= \sum_{n=1}^{\infty} \frac{1}{n^s} \int_0^{\infty} u^{s-1} e^{-u} du \\ &= \zeta(s)\Gamma(s) \end{aligned}$$

Step 3. Now we move on to the next portion of our analysis.

$$\begin{aligned} \zeta(s) &= \sum_{n=1}^{\infty} \frac{1}{n^s} \\ &= \frac{1}{1^s} + \frac{1}{2^s} + \dots \\ &= \left(\frac{1}{1^s} - \frac{1}{2^s} + \frac{1}{3^s} + \dots\right) + \frac{2}{2^s} + \frac{2}{4^s} + \frac{2}{6^s} + \dots \\ &= \sum_{n=1}^{\infty} \frac{(-1)^{n-1}}{n^s} + \frac{2}{2^s} \left(1 + \frac{1}{2^s} + \frac{1}{3^s} + \dots\right) \\ &= \sum_{n=1}^{\infty} \frac{(-1)^{n-1}}{n^s} + 2^{1-s} \zeta(s) \\ \Rightarrow \zeta(s) &= \frac{1}{1-2^{1-s}} \sum_{n=1}^{\infty} \frac{(-1)^{n-1}}{n^s} \end{aligned}$$

By the alternating series test, we only need  $\frac{1}{n^s} \rightarrow 0$ , i.e. this converges for  $\text{Re}(s) > 0$ .

Now we can talk about  $\zeta(s)$  in the critical strip.

---

Recall  $\Gamma(s) = \int_0^{\infty} e^{-t} t^{s-1} dt$ .

Notation Let  $\omega(x) = \sum_{n=1}^{\infty} e^{-n\pi^2 x}$ .

COV Let  $t = \pi n^2 x \Rightarrow dt = \pi n^2 dx$ . Then, we have

$$\begin{aligned} \Gamma(s) &= \int_0^{\infty} e^{-\pi n^2 x} (\pi n^2 x)^{s-1} \pi n^2 dx \\ &= \pi^s n^{2s} \int_0^{\infty} e^{-\pi n^2 x} x^{s-1} dx \\ &\Rightarrow \pi^{-s/2} \Gamma(s/2) n^{-s} = \int_0^{\infty} x^{s/2-1} e^{-\pi n^2 x} dx \\ \Rightarrow \sum_{n=1}^{\infty} &\Rightarrow \pi^{-s/2} \Gamma(s/2) n^{-s} = \sum_{n=1}^{\infty} \int_0^{\infty} x^{s/2-1} e^{-\pi n^2 x} dx \\ \pi^{-s/2} \Gamma(s/2) \zeta(s) &= \int_0^{\infty} x^{s/2-1} \omega(x) dx \end{aligned}$$

Identities

$$\theta(x) = \sum_{n=-\infty}^{\infty} e^{-n\pi^2 x} \tag{8}$$

$$\theta(x^{-1}) = x^{1/2} \theta(x) \tag{9}$$

$$\omega(x^{-1}) = \frac{-1}{2} + \frac{1}{2} x^{1/2} + x^{1/2} \omega(x) \tag{10}$$

Continuing our computation and using the above identities, we get

$$\begin{aligned}
\pi^{-s/2}\Gamma(s/2)\zeta(s) &= \int_0^1 x^{s/2-1}\omega(x) dx + \int_1^\infty x^{s/2-1}\omega(x) dx \quad (\text{Substitute } x \mapsto \frac{1}{x}) \\
&= \int_1^\infty x^{-s/2-1}\omega(1/x) dx + \int_1^\infty x^{s/2-1}\omega(x) dx \\
&= \int_1^\infty x^{-s/2-1}\left(\frac{-1}{2} + \frac{1}{2}x^{1/2} + x^{1/2}\omega(x)\right) + x^{s/2-1}\omega(x) dx \\
&= \frac{-1}{s(1-s)} + \int_1^\infty \omega(x)(x^{s/2-1} + x^{\frac{1-s}{2}-1}) dx
\end{aligned}$$

Let  $\zeta(s) = \frac{1}{2}s(s-1)\pi^{-s/2}\Gamma(s/2)\zeta(s)$ . Then,  $\zeta(s) = \zeta(1-s)$ .

This is the **completed zeta function**.

## 1.7 Functional Equation

## 1.8 Riemann Hypothesis