

Introduction

Buckmaster and Vicol Paper

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# Navier Stokes

## Nonuniqueness of Weak Solutions to the Navier-Stokes Equation

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## Introduction

- 1 “The Navier Stokes is a simple mathematical model to describe the way an incompressible visous fluid flows.”
- 2 “Waves follow our boat as we meander across the lake, and turbulent air currents follow our flight in a modern jet.”
- 3 Explanation/Prediction of ‘Breeze and turbulence’ may be found by understanding the Navier-Stokes equation
- 4 Acceleration, Pressure, Viscosity (Convection Vs Diffusion Terms)

## The Millennium Problem

1

$$\left\{ \begin{array}{l} \frac{\partial}{\partial t} u_i + \sum_{j=1}^n u_j \frac{\partial u_i}{\partial x_j} = \nu \Delta u_i - \frac{\partial p}{\partial x_i} + f_i(x, t) \quad (x \in \mathbb{R}^n, t \geq 0), \\ \operatorname{div} u = \sum_{i=1}^n \frac{\partial u_i}{\partial x_i} = 0 \quad (x \in \mathbb{R}^n, t \geq 0) \end{array} \right. \quad (1)$$

- 2 **Problem:** Does a **smooth**, physically reasonable solution exist for the Navier Stokes equation?
- 3 Proofs for Existence, Smoothness, and Breakdown on  $\mathbb{R}^3$  or  $\mathbb{R}^3/\mathbb{Z}^3 \Rightarrow \$1,000,000$ .

## Brief Historical Progress

- 1 **Analysis Idea:** Prove existence and regularity of solution of PDE by constructing a weak solution (then showing it is smooth)
- 2 (Leray 1934): Navier Stokes in 3-Space dimension always has a weak solution (although uniqueness is not known)
- 3 (Fujita and Kato 1964): Under certain conditions, there does exist solutions to the N-S
- 4 (Caffarelli, Kohn, and Nirenberg 1982): Partial Regularity of Suitable Weak Solutions (based on Scheffer's work)
- 5 (Others) Olga Ladyzhenskaya, Serrin, and more

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## Introduction

- 1 **Claim:** Weak solutions of the 3D Navier-Stokes equations are not unique in class of weak solutions with finite kinetic energy.

2

$$\begin{cases} \partial_t v + \operatorname{div}(v \otimes v) + \nabla p - \nu \Delta v = 0 \\ \operatorname{div} v = 0 \end{cases} \quad (2)$$

- 3 We say  $v \in C^0(\mathbb{R}; L^2(\mathbb{T}^3))$  is a weak solution of N-S if for any  $t \in \mathbb{R}$  the vector field  $v(\cdot, t)$  is weakly divergence free, has zero mean, and:

$$\int_{\mathbb{R}} \int_{\mathbb{T}^3} v \cdot (\partial_t \phi + (v \cdot \nabla) \phi + \nu \Delta \phi) \, dx \, dt = 0 \quad (3)$$

## Main Results

### Theorem (Theorem 1.2)

*(Nonuniqueness of weak solutions)*

*There exists  $\beta > 0$ , such that for any nonnegative smooth function  $e(t) : [0, T] \rightarrow \mathbb{R}_{\geq 0}$ , there exists  $v \in C_t^0([0, T]; H_x^\beta(\mathbb{T}^3))$  a weak solution of the Navier-Stokes equations, such that*

*$\int_{\mathbb{T}^3} |v(x, t)|^2 dx = e(t)$  for all  $t \in [0, T]$ . Moreover, the associated vorticity  $\nabla \times v$  lies in  $C_t^0([0, T]; L_x^1(\mathbb{T}^3))$ .*



## Theorem 1.3

### Theorem (Theorem 1.3)

*(Dissipative Euler solutions arise in the vanishing viscosity limit)*

For  $\bar{\beta} > 0$  let  $u \in C_{t,x}^{\bar{\beta}}(\mathbb{T}^3 \times [-2T, 2T])$  be a zero-mean weak solution of the Euler equations. Then there exists  $\beta > 0$ , a sequence  $\nu_n \rightarrow 0$ , and a uniformly bounded sequence  $v^{(\nu_n)} \in C_t^0([0, T]; H_x^\beta(\mathbb{T}^3))$  of weak solutions to the Navier-Stokes equations, with  $v^{(\nu_n)} \rightarrow u$  strongly in  $C_t^0([0, T]; L_x^2(\mathbb{T}^3))$ .

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## Parameters

- 1 Consider the Navier-Stokes-Reynolds (NSR) system:

$$\begin{cases} \partial_t v_q + \operatorname{div}(v_1 \otimes v_q) + \nabla p_q - \nu \Delta v_q = \operatorname{div} \mathring{R}_q \\ \operatorname{div} v_q = 0 \end{cases} \quad (4)$$

- 2 Assume  $\mathring{R}_q$  is a trace-free symmetric matrix.
- 3 For every  $q \geq 0$ , construct a solution  $(v_q, p_q, \mathring{R}_q)$  to the NSR system.
- 4  $\mathring{R}_q$  - Reynold stress,  $v_q$  - Approximate solution,  $\lambda_q$  - frequency parameter,  $\delta_q$  - amplitude parameter

## Parameters/Questions

- 1 Fix sufficiently large constant  $b \in 16\mathbb{N}$ .
- 2 Fix 'regularity parameter'  $\beta > 0$  such that  $\beta b^2 \leq 4$  and  $\beta b \leq \frac{1}{40}$ .
- 3 Fix constant  $M_e = \|e\|_{C_t^1}$ .
- 4 Remark: Sufficient to take  $b = 2^9$  and  $\beta = 2^{-16}$ .
- 5  $\lambda_q = a^{(b^q)}$ ,  $\delta_q = \lambda_1^{3\beta} \lambda_q^{-2\beta}$  for some integer  $a \gg 1$ .

## Inductive Estimates

- 1 Assume the following estimates on (4).
- 2  $\|v_q\|_{C_{x,t}^1} \leq \lambda_q^4, \|\dot{R}_q\|_{L^1} \leq \lambda_q^{-\epsilon R} \delta_{q+1}, \|\ddot{R}_q\|_{C_{x,t}^1} \leq \lambda_q^{10}.$
- 3 Assume  $0 \leq e(t) - \int_{\mathbb{T}^3} |v_q|^2 dx \leq \delta_{q+1}$  and
- 4  $e(t) - \int_{\mathbb{T}^3} |v_q(x, t)|^2 dx \leq \frac{\delta_{q+1}}{100} \Rightarrow v_q(\cdot, t) \equiv 0$  and  $\dot{R}_q(\cdot, t) \equiv 0$  for all  $t \in [0, T]$ .

## Proposition

### Theorem (Proposition 2.1)

*There exists a universal constant  $M > 0$ , a sufficiently small parameter  $\epsilon_R = \epsilon_R(b, \beta) > 0$  and a sufficiently large parameter  $a_0 = a_0(b, \beta, \epsilon_R, M, M_e) > 0$  such that for any integer  $a \geq a_0$ , which is a multiple of the  $N_\Gamma$ . The following holds:*

*Let  $(v_q, p_q, \dot{R}_q)$  be a triple solving the NSR system in  $\mathbb{T}^3 \times [0, T]$  satisfying the inductive estimates. Then there exists a second triple  $(v_{q+1}, p_{q+1}, \dot{R}_{q+1})$  solving NSR and satisfying the estimates with  $q$  replaced by  $q + 1$ . In addition,*

$$\|v_{q+1} - v_q\|_{L^2} \leq M\delta_{q+1}^{1/2}.$$

## Proof of Theorem 1.2 - Trivial Case

- 1 Denote  $H^\beta$  the  $L^2$ -based Sobolev space with regularly index  $\beta$ . Then,  $C_t^0 H_x^\beta \subset C_t^0 L_x^2$ .
- 2 For  $q \equiv 0$ , NSR is satisfies with  $\mathring{R}_0 = 0$  and inductive assumptions hold.
- 3 For  $a$  sufficiently large, we may ensure that:

$$|e(t) \leq \|e\|_{C_t^1} = M_e \leq \frac{\lambda_1^\beta}{100} = \frac{\delta_1}{100}.$$

## Proof of Theorem 1.2 - Induction

- ① For  $q \geq 1$ , we inductively apply Proposition 2.1

$$\begin{aligned} \sum_{q=0}^{\infty} \|v_{q+1} - v_q\|_{H^{\beta'}} &\lesssim \sum_{q=0}^{\infty} \|v_{q+1} - v_q\|_{L^2}^{1-\beta'} (\|v_{q+1}\|_{C^1} + \|v_q\|_{C^1})^{\beta'} \\ &\lesssim \sum_{q=0}^{\infty} M^{1-\beta'} \lambda_1^{3\beta \frac{1-\beta'}{2}} \lambda_{q+1}^{-\beta \frac{1-\beta'}{2}} \lambda_{q+1}^{4\beta'} \\ &\lesssim M^{1-\beta'} \lambda_1^{3\beta \frac{1-\beta'}{2}} \end{aligned}$$

for  $\beta' < \beta/(8 + \beta)$ .

- ② Hence,  $\{v_q\}_{q \geq 0}$  is uniformly bounded  $C_t^0 H_x^{\beta'}$  for such  $\beta'$ .



## Proof of Theorem 1.2 - Part 1

- ① From the inductive estimates, the uniform boundedness in  $C_t^0 L_x^2$ , The embedding  $W_x^{2,1} \subset L_x^2$ , we obtain that:

②

$$\begin{aligned} \|\partial_t v_q\|_{H^{-3}} &\lesssim \|\mathbb{P} \operatorname{div}(v_q \otimes v_q) - \nu \Delta v_q - \mathbb{P} \operatorname{div} \dot{R}_q\|_{H^{-3}} \\ &\lesssim \|v_q \otimes v_q\|_{L^1} + \|v_q\|_{L^2} + \|\dot{R}_q\|_{L^1} \\ &\lesssim M^2 \lambda_1^{3\beta} \end{aligned}$$

- ③ Note:  $\mathbb{P}$  is the Leray Projection (projection on the divergence-free vector fields) and is defined as:
- ④  $\mathbb{P}(u) = u - \nabla \Delta^{-1}(\nabla \cdot u)$ , for vector fields  $u$ .

## End of Proof of Theorem 1.2

- 1 Hence,  $\{v_q\}_{q \geq 0}$  is uniformly bounded in  $C_t^1 H_x^{-3}$ .
- 2 Then, for any  $0 < \beta'' < \beta'$ ,  $\sum_{q \geq 0} (v_q + 1 - v_q) =: v$  converges in  $C_t^0 H_x^{\beta''}$ .
- 3 Since  $\|\dot{R}_q\|_{L^1} \rightarrow 0$  as  $q \rightarrow \infty$ ,  $v$  is a  $C_t^0 H_x^{\beta''}$  **weak solution of the Navier-Stokes Equation**.
- 4 Finally, kinetic energy of  $v(\cdot, t)$  is given by  $e(t)$  for all  $t \in [0, T]$ .

## Proof of Theorem 1.3

- ① Consider the incompressible Euler equations:

$$\begin{cases} \partial_t v + v \cdot \nabla v + \nabla p = 0 \\ \operatorname{div} v = 0 \end{cases}$$

- ② Fix  $\bar{\beta} > 0$  and a weak solution  $u \in C_{t,x}^{\bar{\beta}}$  to the Euler equation on  $[-2T, 2T]$ .
- ③ Existence guaranteed for  $\bar{\beta} < 1/3$  and for  $\bar{\beta} > 1$ .
- ④ Let  $M_u = \|u\|_{C^{\bar{\beta}}}$  and pick  $n \geq 1$ .
- ⑤ Choose all parameters as Proposition (except  $a \geq a_0$  and  $0 < \beta' < \min(\bar{\beta}/2, \beta/(8 + \beta))$ ).

## Theorem 1.3 Proof Setup

- ① We may ensure that:

$$\sum_{q=n}^{\infty} M^{1-\beta'} \lambda_1^{3\beta \frac{1-\beta'}{2}} \lambda_{q+1}^{-\beta \frac{1-\beta'}{2}} \lambda_{q+1}^{4\beta'} \leq \frac{1}{2Cn}$$

- ② Let  $\{\phi_\epsilon\}_{\epsilon>0}$  be a family of standard compact support mollifiers on  $\mathbb{R}^3$  and  $\{\varphi_\epsilon\}_{\epsilon>0}$  be family of standard compact support mollifiers on  $\mathbb{R}$ .
- ③ Define  $v_n = (u *_x \phi_{\lambda_n^{-1}} *_t \varphi_{\lambda_n^{-1}})$  to be mollification of  $u$  with time scale  $\lambda_n^{-1}$ , restricted to  $[0, T]$ .
- ④ Define the energy function  $e(t) = \int_{\mathcal{T}^3} |v_n(x, t)|^2 dx + \frac{\delta_n}{2}$ .

## Proof

- ① Since  $u$  is a solution to the Euler equations, there exists a **mean-free**  $p_n$  such that

$$\partial_t v_n + \operatorname{div} (v_n \otimes v_n) + \nabla p_n - \lambda_n^{-2} \Delta v_n = \operatorname{div} (\mathring{R}_n)$$

- ② Here  $\mathring{R}_n$  is the traceless symmetric part of the tensor:

$$(v_n \otimes v_n) - ((u \otimes u) *_x \phi_{\lambda_n^{-1}} *_t \varphi_{\lambda_n^{-1}} - \lambda_n^{-2} \nabla v_n).$$

- ③ By a commutator estimate, we have:

$$\|\mathring{R}_n\|_{L^1} \lesssim \|\mathring{R}_n\|_{C^0} \lesssim \lambda_n^{-1} M_u + \lambda_n^{-2\bar{\beta}} M_u^2$$

- ④ Similarly, we have:

$$\|\mathring{R}_n\|_{C_{t,x}^1} \lesssim M_u + \lambda_n^{1-2\bar{\beta}} M_u^2, \quad \|v_n\|_{C_{t,x}^1} \lesssim \lambda_n^{1-\bar{\beta}} M_u.$$

## Conclude Proof of Theorem 1.3

- 1 Set  $v := v_n := \lambda_n^{-1}$  with  $a$  sufficiently large, depending on  $M_u$  and  $\bar{\beta}$ , we may ensure  $(v_n, \mathring{R}_n)$  obey inductive assumptions for  $q = n$ .
- 2 Choose  $a$  such that  $\lambda_n^{\bar{\beta} - \beta'} M_u \leq \frac{1}{2n|\mathbb{T}^3|^{1/2}}$ .
- 3 By Proposition 2.1, we obtain a weak solution,  $u^{(v_n)}$  of the N-S equations with desired regularity such that:

$$\|v^{(v_n)} - u\|_{H^{\beta'}} \leq \|v^{(v_n)} - v_n\|_{H^{\beta'}} + |\mathbb{T}^3|^{1/2} \|u - v_n\|_{C^{\beta'}} \leq \frac{1}{n}$$

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## Intermittent Beltrami Flows - Introduction

- Use building blocks for the convex integration scheme which are ‘intermittent.’
- Building blocks are spatially inhomogeneous and have different scaling in different  $L^p$  norms.
- Built by adding eigenfunctions of curl in a certain geometric manner.



## Proposition 2.1

- Proposition 2.1 implies Theorems 1.2 and 1.3.
- New Idea: Construct perturbation  $v_{q+1} - v_q$  as sum of the terms of the form

$$a_{(\xi)} \mathbb{W}_{(\xi)},$$

where  $\mathbb{W}_{(\xi)}$  is an intermittent Beltrami wave.

- Fundamentally different from usual Beltrami flows since their  $L^1$  norm is much smaller than their  $L^2$  norm.
- 'Gain comes from the fact that Reynolds stress has to be estimated in  $L^1$  rather than  $L^2$ , and that  $v\Delta v$  is linear in  $v$ .'

## Beltrami Waves - Proposition 3.1

- Given  $\xi \in \mathbb{S}^2 \cap \mathbb{Q}^3$ , let  $A_\xi \in \mathbb{S}^2 \cap \mathbb{Q}^3$  such that

$$A_\xi \cdot \xi = 0, |A_\xi| = 1, A_{-\xi} = A_\xi$$

- Let  $B_\xi = \frac{1}{\sqrt{2}}(A_\xi + i\xi \times A_\xi)$ .
- Let  $\Lambda$  be a given finite subset of  $\mathbb{S}^2 \cap \mathbb{Q}^3$  such that  $-\Lambda = \Lambda$ , and let  $\lambda \in \mathbb{Z}$  be such that  $\lambda\Lambda \subset \mathbb{Z}^3$ .

## Proposition 3.1 - Continued

- Then, for any choice of  $a_\xi \in \mathbb{C}$  with  $\bar{a}_\xi = a_{-\xi}$  the vector field:

$$W(x) = \sum_{\xi \in \Lambda} a_\xi B_\xi e^{i\lambda_\xi \cdot x}$$

is real-valued, divergence-free and satisfies

$$\operatorname{div}(W \otimes W) = \nabla \frac{|W|^2}{2}.$$

- Also, since  $B_\xi \otimes B_{-\xi} + B_{-\xi} \otimes B_\xi = \operatorname{Id} - \xi \otimes \xi$ , we have

$$\int_{\mathbb{T}} W \otimes W \, dx = \frac{1}{2} \sum_{\xi \in \Lambda} |a_\xi|^2 (\operatorname{Id} - \xi \otimes \xi).$$

## Proposition 3.2

For every  $N \in \mathbb{N}$ , we can choose  $\epsilon_\gamma > 0$  and  $\lambda > 1$  with the following property. Let  $B_{\epsilon_\gamma}(\text{Id})$  denote the ball of symmetric  $3 \times 3$  matrices, centered at  $\text{Id}$ , of radius  $\epsilon_\gamma$ . Then, there exists pairwise disjoint subsets:  $\Lambda_\alpha \subset \mathbb{S}^2 \cap \mathbb{Q}^3$   $\alpha \in \{1, \dots, N\}$ , with  $\lambda \Lambda_\alpha \in \mathbb{Z}^3$ , and smooth positive functions :

$$\gamma_\epsilon^{(\alpha)} \in C^\infty(B_\epsilon(\text{Id})) \quad \alpha \in \{1, \dots, N\}, \xi \in \Lambda_\alpha,$$

with derivatives that are bounded independently of  $\lambda$ , such that

(a)  $\xi \in \Lambda_\alpha$  implies  $-\xi \in \Lambda_\alpha$  and  $\gamma_\xi^{(\alpha)} = \gamma_{-\xi}^{(\alpha)}$ ;

(b) for each  $R \in B_{\epsilon_\gamma}(\text{Id})$ , we have the identity:

$$R = \frac{1}{2} \sum_{\xi \in \Lambda_\alpha} (\gamma_\epsilon^{(\alpha)}(R))^2 (\text{Id} - \xi \otimes \xi).$$

## Main Idea

- Modify Beltrami waves by adding oscillations to mimic the structure of the kernels  $D_r$ .
- Then we can construct approximate Beltrami waves with  $L^p$  norm for  $p$  close to 1.

## Intro to Intermittent Beltrami Waves

- Recall the Dirichlet Kernel is

$$D_n(x) = \sum_{\xi=-n}^n e^{ix\xi} = \frac{\sin(n+1/2)x}{\sin(x/2)}.$$

- For  $p > 1$ , it obeys the estimate  $\|D_n\|_{L^p} \sim n^{1-1/p}$ .

- Consider a 3D integer cube

$$\Omega_r = \{\xi = (j, k, l) : j, k, l \in \{-r, \dots, r\}\}$$

- Normalizing to unit size in  $L^2$ , we obtain a kernel:

$$D_r(x) := \frac{1}{(2r+1)^{3/2}} \sum_{\xi \in \Omega_r} e^{i\xi \cdot x} =$$
$$\frac{1}{(2r+1)^{3/2}} \sum_{j,k,l \in \{-r, \dots, r\}} e^{i(jx_1 + kx_2 + lx_3)}, \text{ such that for}$$

$1 < p \leq \infty$ , we have:

- $\|D_r\|_{L^2}^2 = (2\pi)^3, \quad \|D_r\|_{L^p} \lesssim r^{3/2-3/p}$

## Constants

- Large Parameter  $r$  will parameterize the number of frequencies **along edges of the cube**  $\Omega_r$ .
- We have small parameter  $\sigma$  such that  $\lambda\sigma \in \mathbb{N}$  parametrizes the **spacing between frequencies**.
- $\mu \in (\lambda, \lambda^2)$  **measures the amount of temporal oscillation in our building blocks**.
- Universal constants  $c_\Lambda \in (0, 1)$ ,  $N_\Lambda \geq 1$ .
- Assume  $\sigma r \leq c_\Lambda / (10N_\Lambda)$

## Brief Computations

- For  $\xi \in \Lambda_\alpha$ , vectors  $\{\xi, A_\xi, \xi \times A_\xi\}$  form an orthonormal basis of  $\mathbb{R}^3$ .
- Define a **rescaled periodic Dirichlet kernel** by

$$\begin{aligned}\eta_{(\xi)}(x, t) &= \eta_{\xi, \lambda, \sigma, r, \mu}(x, t) \\ &= D_r(\lambda \sigma N_\Lambda(\xi \cdot x + \mu t), \lambda \sigma N_\Lambda A_\xi \cdot x, \lambda \sigma N_\Lambda(\xi \times A_\xi) \cdot x)\end{aligned}$$

- Identity:  $\frac{1}{\mu} \partial_t \eta_{(\xi)}(x, t) = \pm(\xi \cdot \nabla) \eta_{(\xi)}(x, t)$



## Intermittent Beltrami Wave

- The map  
 $(x_1, x_2, x_3) \mapsto (\lambda\sigma N_\Lambda(\xi \cdot x + \mu t), \lambda\sigma N_\Lambda A_\xi \cdot x, \lambda\sigma N_\Lambda(\xi \times A_\xi) \cdot x)$   
maps  $(e_1, e_2, e_3)$  to  $(\xi, A_\xi, \xi \times A_\xi)$ .
- These are all volume preserving transformations on  $\mathbb{T}^3$  and by our choice of normalization, we have:

$$\int \eta_{(\xi)}^2(x, t) dx = 1, \quad \|\eta_{(\xi)}\|_{L^p(\mathbb{T}^3)} \lesssim r^{3/2-3/p}$$

for all  $1 < p \leq \infty$ .

- Let  $W_{(\xi)}(x) = W_{\xi, \lambda}(x) = B_\xi e^{i\lambda\xi \cdot x}$  be the Beltrami plane wave at frequency  $\lambda$ .

## Continued

- We have  $\operatorname{curl} W_{(\xi)} = \lambda W_{(\xi)}$ ,  $\operatorname{div} W_{(\xi)} = 0$ .
- Take  $\lambda$  to be a multiple of  $N_\Lambda$ , so that  $W_{(\xi)}$  is  $\mathbb{T}^3$ -periodic.
- **Finally**, we define the **intermittent Beltrami Wave**  $\mathbb{W}_{(\xi)}$  as

$$\begin{aligned}\mathbb{W}_{(\xi)}(x, t) &= \mathbb{W}_{\xi, \lambda, \sigma, r, \mu}(x, t) \\ &= \eta_{\xi, \lambda, \sigma, r, \mu}(x, t) W_{\xi, \lambda}(x) \\ &= \eta_{(\xi)}(x, t) W_{(\xi)}(x)\end{aligned}$$

- Note,  $\mathbb{W}_\xi$  is not anymore divergence free, nor is it an eigenfunction of curl.

## Proposition 3.4

- Let  $\mathbb{W}_{(\xi)}$  be as defined above, and let  $\Lambda_\alpha, \xi_\gamma, \gamma(\xi) = \gamma_\xi^{(\alpha)}$  be as in Proposition 3.2.
- If  $a_\xi \in \mathbb{C}$  are constants chosen such that  $\bar{a}_\xi = a_{-\xi}$ , the vector field

$$\sum_{\alpha} \sum_{\xi \in \Lambda_\alpha} a_\xi \mathbb{W}_{(\xi)}(x)$$

is real valued.

- Moreover, for each  $R \in B_{\epsilon_\gamma}(\text{Id})$ , we have the identity

$$\sum_{\xi \in \Lambda_\alpha} (\gamma(\xi)(R))^2 \int_{\mathbb{T}^3} \mathbb{W}_{(\xi)} \otimes \mathbb{W}_{(-\xi)} dxs = \sum_{\xi \in \Lambda_\alpha} (\gamma(\xi)(R))^2 B_\xi \otimes B_{-\xi} = R.$$

## Proposition 3.5

- Let  $\mathbb{W}_{(\xi)}$  be defined as above. The bound:

- $$\|\nabla^N \partial_t^K \mathbb{W}_{(\xi)}\|_{L^p} \lesssim \lambda^N (\lambda \sigma \tau \mu)^K r^{3/2-3/p}$$

- $$\|\nabla^N \partial_t^K \eta_{(\xi)}\|_{L^p} \lesssim (\lambda \sigma \tau)^N (\lambda \sigma \tau \mu)^K r^{3/2-3/p}$$

## $L^p$ Decorrelation:

- For  $f : \mathbb{T}^3 \rightarrow \mathbb{R}$ , we have the trivial estimate:  
$$\|f\mathbb{W}_{(\xi)}\|_{L^1} \lesssim \|f\|_{L^2}\|\mathbb{W}_{(\xi)}\|_{L^2}.$$
- This estimate does not take advantage of  $(2\pi\lambda\sigma)^{-1}$  periodic function  $\mathbb{W}_{(\xi)}e^{-i\lambda\xi\cdot x}$ .
- **Improved Estimates:**  $\|f\mathbb{W}_{(\xi)}\|_{L^1} \leq \|f\|_{L^1}\|\mathbb{W}_{(\xi)}\|_{L^1}.$

## Lemma 3.7

- Fix integers  $M, \kappa, \lambda \geq 1$  such that:

- 

$$\frac{2\pi\sqrt{3}\lambda}{\kappa} \leq \frac{1}{3} \text{ and } \lambda^4 \frac{(2\pi\sqrt{3}\lambda)^M}{\kappa^M} \leq 1.$$

- Let  $p \in \{1, 2\}$ , and let  $f$  be a  $\mathbb{T}^3$ -periodic function such that there exists a constant  $C_f$  such that

$$\|D^j f\|_{L^p} \leq C_f \lambda^j$$

for all  $1 \leq j \leq M + 4$ .

- In addition, let  $g$  be a  $(\mathbb{T}/\lambda)^3$  periodic function. Then we have that

$$\|fg\|_{L^p} \lesssim C_f \|g\|_{L^p}$$

holds, where the implicit constant is universal.

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## Mollification of $v_q$

- (In order to avoid loss of derivative) We replace  $v_q$  by a mollified velocity field  $v_\ell$ .
- Let  $\{\phi_\epsilon\}_{\epsilon>0}$  be a family of mollifiers on  $\mathbb{R}^3$  (space);  $\{\varphi_\epsilon\}_{\epsilon>0}$  be a family of mollifiers on  $\mathbb{R}$  (time)

•

$$v_\ell = (v_q)_{*x}\phi_\ell)_{*t}\varphi_\ell$$

•

$$\dot{R}_\ell = (\dot{R}_q)_{*x}\phi_\ell)_{*t}\varphi_\ell$$

- Then  $(v_\ell, \dot{R}_\ell)$  obey a modified NSR system.



## Stress Cutoffs

- Because the Reynold stress  $\mathring{R}_\ell$  is not spatially homogeneous, stress cutoff functions are introduced.
- Let  $0 \leq \tilde{\chi}_0, \tilde{\chi} \leq 1$  be bump functions adapted to intervals  $[0, 4]$  and  $[1/4, 4]$  respectively, such that together they form a **partition of unity**.
- Define  $\chi_{(i)}(x, t) = \chi_{i, q+1}(x, t) = \tilde{\chi}_i(\langle \frac{\mathring{R}_\ell(x, t)}{100\lambda_q^{-\epsilon R} \delta_{q+1}} \rangle)$  for all  $i \geq 0$ .
- Here,  $\langle A \rangle = (1 + |A|^2)^{1/2}$  where  $|A|$  denotes the Euclidean norm of matrix  $A$ .

## Definition of Velocity Increment

- $a(\xi) = a_{(\xi, i, q+1)} := \rho_i^{1/2} \chi_{i, q+1} \gamma_{(\xi)} \left( Id - \frac{Id - \dot{R}_\ell}{\rho_i(t)} \right)$  for  $i \geq 1$ .
- Here  $\rho_i := \lambda_q^{-\epsilon R} \delta_{q+1} 4^{i+c_0}$ .
- Later,  $\rho_0$  defined as  $\rho_0 = ((\rho^{1/2} *_t \varphi_\ell)^2)$ .
- The **principal part** of  $w_{q+1}$  is defined as:

$$w_{q+1}^{(\rho)} := \sum_i \sum_{\xi \in \Lambda(i)} a(\xi) \mathbb{W}_{(\xi)}$$

where sum is over  $0 \leq i \leq i_{\max}(q)$ .

- In this section, other constants, **incompressibility corrector** and **temporal corrector** also defined.

## Lemmas: Estimates of the perturbation

- **Lemma 4.1** For  $q \geq 0$ , there exists  $i_{\max}(q) \geq 0$  determined by

$$i_{\max}(q) = \min\{i \geq 0 : 4^{i-2} \geq \lambda_q^{11} \delta_{q+1}^{-1}\}$$

such that

- $\chi(i) \equiv 0$  for all  $i > i_{\max}$ .
- Moreover, for all  $0 \leq i \leq i_{\max}$ ,  $\rho_i \lesssim r^{i_{\max}} \lesssim \ell^{-1}$
- Moreover,  $\sum_{i=0}^{i_{\max}} \rho_i^{1/2} 2^{-i} \leq 3\delta_{q+1}^{1/2}$ .

## More Lemmas

- **Lemma 4.2** Let  $0 \leq i \leq i_{\max}$ . Then, we have
- $\|\chi_{(i)}\|_{L^2} \lesssim 2^{-i}$ .
- $\|\chi_i\|_{C_{x,t}^N} \lesssim \lambda_q^{10} \ell^{1-N} \lesssim \ell^{-N}$  for all  $N \geq 1$ .
- **Lemma 4.3** The following lower and upper bounds hold:

$$\int_{\mathbb{T}^3} \chi_{(0)}^2 dx \geq \frac{|\mathbb{T}^3|}{2},$$

- $\sum_{i \geq 1} \rho_i \int_{\mathbb{T}^3} \chi_{(i)}^2(s, t) dx \lesssim \lambda_q^{-\epsilon R} \delta_{q+1}$ .

## More Lemmas

- **Lemma 4.4** The bounds



$$\|a_\xi\|_{L^2} \lesssim \rho_i^{1/2} 2^{-i} \lesssim \delta_{q+1}^{1/2}.$$



$$\|a_\xi\|_{L^\infty} \lesssim \rho_i^{1/2} \lesssim \delta_{q+1}^{1/2} 2^j.$$



$$\|a_\xi\|_{C_{x,t}^N} \lesssim \ell^{-N}$$

hold for all  $0 \leq i \leq i_{\max}$  and  $N \geq 1$ .

## Proposition 4.5

- The principal part of the velocity perturbation, incompressibility, and temporal correctors obey the bounds:
- $\|w_{q+1}^{(p)}\|_{L^2} \leq \frac{M}{2} \delta_{q+1}^{1/2}$
- $\|w_{q+1}^{(c)}\|_{L^2} + \|w_{q+1}^{(t)}\|_{L^2} \lesssim r^{3/2} \ell^{-1} \mu^{-1} \delta_{q+1}^{1/2}$
- $\|w_{q+1}^{(p)}\|_{W^{1,p}} + \|w_{q+1}^{(c)}\|_{W^{1,p}} + \|w_{q+1}^{(t)}\|_{W^{1,p}} \lesssim \ell^{-2} \lambda_{q+1} r^{3/2-3/p}$
- $\|\partial_t w_{q+1}^{(p)}\|_{L^p} + \|\partial_t w_{q+1}^{(c)}\|_{L^p} \lesssim \ell^{-2} \lambda_{q+1} \sigma \mu r^{5/2-3/p}$
- $\|w_{q+1}^{(p)}\|_{C_{x,t}^N} + \|w_{q+1}^{(c)}\|_{C_{x,t}^N} + \|w_{q+1}^{(t)}\|_{C_{x,t}^N} \leq \frac{1}{2} \lambda_{q+1}^{(3+5N)/2}$

## Corollary 4.6

For  $N \in \{0, 1, 2, 3\}$  and  $p > 1$ , we have:

- $\|w_{q+1}\|_{L^2} \leq \frac{3M}{4} \delta_{q+1}^{1/2}$
- $\|v_{q+1} - v_q\|_{L^2} \leq M \delta_{q+1}^{1/2}$
- $\|w_{q+1}\|_{W^{1,p}} \lesssim \ell^{-2} \lambda_{q+1} r^{3/2-3/p}$
- $\|w_{q+1}\|_{C_{x,t}^N} \leq \frac{1}{2} \lambda_{q+1}^{(3+5N)/2}$
- $\|v_{q+1}\|_{C_{x,t}^N} \leq \lambda_{q+1}^{(3+5N)/2}$ .
- Proves Proposition 2.1 claims.

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## Proposition 5.1

### Theorem

*There exists a  $p > 1$  sufficiently close to 1 and an  $\epsilon_R > 0$  sufficiently small, depending on  $b$  and  $\beta$  (in particular, independent of  $q$ ) such that there exists a traceless symmetric 2 tensor  $\tilde{R}$  and a scalar pressure field  $\tilde{p}$  satisfying*

$$\partial_t v_{q+1} + \operatorname{div}(v_{q+1} \otimes v_{q+1}) + \nabla \tilde{p} - \nu \Delta v_{q+1} = \operatorname{div} \tilde{R} \quad (5)$$

*and the bound  $\|\tilde{R}\|_{L^p} \lesssim \lambda_{q+1}^{-2\epsilon_R} \delta_{q+2}$ , where the constant depends on the choice of  $p$  and  $\epsilon_R$ .*

## Consequences

- The inductive estimates defined in Theorem 2 Setup hold for a suitably defined Reynold stress  $\mathring{R}_{q+1}$ .
- Compared to  $\tilde{R}$ , the stress  $\mathring{R}_{q+1}$  constructed below also obeys a satisfactory  $C^1$  estimate.

## Corollary 5.2

- There exists a traceless symmetric 2 tensor  $\mathring{R}_{q+1}$  and a scalar pressure field  $p_{q+1}$  such that:

- 

$$\partial_t v_{q+1} + \operatorname{div}(v_{q+1} \otimes v_{q+1}) + \nabla \tilde{p} - \nu \Delta v_{q+1} = \operatorname{div} \mathring{R}_{q+1}. \quad (6)$$

Moreover,

- 

$$\|\mathring{R}_{q+1}\|_{L^1} \leq \lambda_{q+1}^{-\epsilon_R} \delta_{q+2}$$

- 

$$\|\mathring{R}_{q+1}\|_{C_{x,t}^1} \leq \lambda_{q+1}^{10}.$$

## Prior Knowledge

- The proof of the corollary will use the following information:
- The 2-tensor valued elliptic operator  $\mathcal{R}$  that has the property that  $\mathcal{R}v(x)$  is a symmetric trace-free matrix for each  $x \in \mathbb{T}^3$  and  $\mathcal{R}$  is a right inverse of the div operator, i.e.,

- 

$$\operatorname{div} \mathcal{R}v = v - \int_{\mathbb{T}^3} v(x) \, dx$$

for any smooth  $v$ .

- Classical Calderon-Zygmund bound  $\|\nabla|\mathcal{R}|\|_{L^p \rightarrow L^p} \lesssim 1$ .
- Schauder estimates  $\|\mathcal{R}\|_{L^p \rightarrow L^p} + \|\mathcal{R}\|_{C^0 \rightarrow C^0} \lesssim 1$  for  $p \in (1, \infty)$ .

## Proof of Corollary 5.2

- Recall  $\tilde{R}$  is a traceless symmetric 2 tensor and  $\tilde{p}$  is a scalar pressure field.
- Let  $\dot{R}_{q+1} = \mathcal{R}(\mathbb{P}_H \operatorname{div} \tilde{R})$ ,  $p_{q+1} = \tilde{p} - \Delta^{-1} \operatorname{div} \operatorname{div} \tilde{R}$
- Since  $\|\mathcal{R} \operatorname{div}\|_{L^p \rightarrow L^p} \lesssim 1$ , we can directly bound:

- 

$$\|\dot{R}_{q+1}\|_{L^1} \lesssim \|\dot{R}_{q+1}\|_{L^p} \lesssim \|\tilde{R}\|_{L^p} \lesssim \lambda_{q+1}^{-2\epsilon_R} \delta_{q+2}$$

- The estimate  $\|\dot{R}_{q+1}\|_{L^1} \leq \lambda_{q+1}^{-\epsilon_R} \delta_{q+2}$  follows.

## Continued

- Now we need to prove  $\|\mathring{R}_{q+1}\|_{C_{x,t}^1} \leq \lambda_{q+1}^{10}$ .
- Using (6) and Corollary 4.6, we get:
- 

$$\begin{aligned} \|\mathring{R}_{q+1}\|_{C^1} &= \|\mathcal{R}\mathbb{P}_H(\operatorname{div}\tilde{R})\|_{C^1} \\ &\lesssim \|\partial_t v_{q+1} + \operatorname{div}(v_{q+1} \otimes v_{q+1}) - \nu \Delta v_{q+1}\|_{C^1} \\ &\lesssim \|\partial_t v_{q+1}\|_{C^1} + \|v_{q+1} \otimes v_{q+1}\|_{C^2} + \|v_{q+1}\|_{C^3} \\ &\lesssim \lambda_{q+1}^9 \end{aligned} \tag{7}$$

by using Schauder estimates  $\|\mathcal{R}\mathbb{P}_H\|_{C^0 \rightarrow C^0} \lesssim 1$ .

## Finally



$$\begin{aligned} \|\partial_t \dot{R}_{q+1}\|_{L^\infty} &\lesssim \|\partial_t(\partial_t v_{q+1} + \operatorname{div}(v_{q+1} \otimes v_{q+1}) - \nu \Delta v_{q+1})\|_{C^0} \\ &\lesssim \|\partial_t^2 v_{q+1}\|_{C^0} + \|\partial_t v_{q+1} \otimes v_{q+1}\|_{C^1} + \|\partial_t v_{q+1}\|_{C^2} \\ &\lesssim \lambda_{q+1}^9 \end{aligned} \tag{8}$$

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## Lemma 6.1

- For all  $t$  and  $t'$  satisfying  $|t - t'| \leq 2\ell$  and all  $i \geq 0$ , we have:
- $|e(t') - e(t'')| \lesssim \ell^{1/2}$ .
- $|\int_{\mathbb{T}^3} |v_q(x, t)|^2 dx - \int_{\mathbb{T}^3} |v_q(x, t')|^2 dx| \lesssim \ell^{1/2}$ .
- $|\int_{\mathbb{T}^3} (\chi_i^2(x, t) - \chi_i^2(x, t')) dx| \lesssim \ell^{1/2}$
- $|\rho(t) - \rho(t')| \lesssim \ell^{1/2}$

## Lemmas

- **Lemma 6.2** If  $\rho_0(t) \neq 0$ , then the energy of  $v_{q+1}$  satisfies:

$$|e(t) - \int_{\mathbb{T}^3} |v_{q+1}(x, t)|^2 dx - \frac{\delta_{q+2}}{2}| \leq \frac{\delta_{q+2}}{4}.$$

- Lemma implies that if  $\rho_0(t) \neq 0$ , then,

$$e(t) \int_{\mathbb{R}^3} |v_q(x, t)|^2 dx > \frac{\delta_{q+1}}{100}$$

- **Lemma 6.3** Since  $\rho_0(t) = 0$ , then  $v_{q+1}(\cdot, t) \equiv 0$ ,  $\dot{R}_{q+1}(\cdot, t) \equiv 0$  and

$$e(t) - \int_{\mathbb{T}} |v_{q+1}(x, t)|^2 \leq \frac{3\delta_{q+2}}{4}.$$

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## Main Idea

- Proven that weak solutions of 3D Navier-Stokes equations are **not unique** in the class of weak solutions with finite kinetic energy
- Holder continuous dissipative weak solutions of the 3D Euler equations may be obtained as a strong **vanishing viscosity limit** of a sequence of finite energy weak solutions of the 3D Navier-Stokes equations.
- Use *intermittent Beltrami flows* as a tool

- Paper - cited in at least 48 articles
- Convex Integration Construction in Hydrodynamics, [▶ Link](#)
- Zhang, X. Zhao, G. Stochastic Lagrangian Path for Leray's Solutions of 3D Navier-Stokes Equation (2021) [▶ Link](#)
- Novack, Matthew. Nonuniqueness of Weak Solutions to the 3 Dimensional Quasi-Geostrophic Equations (2020).
- Colombo, M., de Rosa, L. , Forcella L. Regularity results for rough solutions of the incompressible Euler equations via interpolation methods (2020).

## What Did I learn/Question

- Inequalities and regularity are important.
- Learned about the main issues behind the Navier-Stokes Problem and why this is a complex problem
- Schauder's Estimates good tool to have
- What is Onsager's Conjecture?
- "Threshold regularity for the validity of energy conservation of weak solutions of the incompressible Euler equations is the exponent  $1/3$ ."

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# The End

- Thank You!
- Questions?